



Application No. (if known): 09/597742

Attorney Docket No.: 26119.100 US1

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Issue Fee Transmittal (1 page)
Response to Examiner's Reasons for Allowance (3 pages)
Submission of Formal Drawings Transmittal Letter (1 page)
21 Sheets of Replacement Formal Drawings (Figs. 1-21)
Return Receipt Postcard



Docket No.: 26119.100 US1

PATENT/OFFICIAL

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: J. Carr Bettis et al.

Serial No.: 09/597,742

Group Art Unit: 3624

Filed: June 19, 2000

Examiner: N. Subramanian

For: SYSTEM, METHOD AND COMPUTER READABLE MEDIUM CONTAINING
INSTRUCTIONS FOR EVALUATING AND DISSEMINATING INVESTOR
PERFORMANCE INFORMATION

RESPONSE TO EXAMINER'S REASONS FOR ALLOWANCE

MS Issue Fee
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Sir:

Applicant substantially agrees with the Examiner's reasons for allowance in the Office Action, subject to the comments herein. Applicant would like to emphasize, and assumes that the Examiner intended to so state, that the combination of elements in each of the allowed claims, independent and dependent, are patentably distinguishable over the prior art when each claim is interpreted as a whole.

Applicant provides no opinion with respect to interpreting the references cited by the Examiner, and therefore, does not concede to the Examiner's interpretation of same, as permitted under 37 C.F.R. Section 1.104(e), particularly since the Examiner does not respond to an Applicant's Response to Reasons for Allowance. Applicant would like to clarify that the only

interpretation that Applicant will accept or agrees with is the interpretation that one of ordinary skill in the art would understand from the prior art references.

Applicant strongly emphasizes that one reviewing the prosecution history should not interpret any of the examples Applicant has described herein in connection with distinguishing over the prior art as limiting to those specific features in isolation. Rather, Applicant asserts that it is the combination of elements recited in each of the claims, when each claim is interpreted as a whole, which is patentable. Applicant has emphasized certain features in the claims as clearly not present in the cited references, as discussed above. However, Applicant does not concede that other features in the claims are found in the prior art. Rather, for the sake of simplicity, Applicant is providing examples of why the claims described above are distinguishable over the cited prior art.

Applicant wishes to clarify for the record, if necessary, that the claims have been amended to expedite prosecution. Moreover, Applicant reserves the right to pursue the original subject matter recited in the present claims in a continuation application.

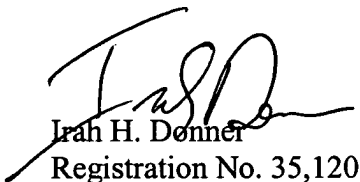
Further, Applicant hereby retracts any arguments and/or statements made during prosecution that were rejected by the Examiner during prosecution and/or that were unnecessary to obtain allowance, and only maintains the arguments that persuaded the Examiner with respect to the allowability of the patent claims, as one of ordinary skill would understand from a review of the prosecution history. That is, Applicant specifically retracts statements that one of ordinary skill would recognize from reading the file history were not necessary, not used and/or were rejected by the Examiner in allowing the patent application.

Any narrowing amendments made to the claims in the present Amendment are not to be construed as a surrender of any subject matter between the original claims and the present

claims; rather merely Applicant's best attempt at providing one or more definitions of what the Applicant believes to be suitable patent protection. In addition, the present claims provide the intended scope of protection that Applicant is seeking for this application. Therefore, no estoppel should be presumed, and Applicant's claims are intended to include a scope of protection under the Doctrine of Equivalents.

Respectfully submitted,

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Docket No.: 26119.100 US1
(PATENT)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:
J. Carr Bettis et al.

Application No.: 09/597742

Confirmation Number: 3881

Filed: June 19, 2000

Art Unit: 3624

For: SYSTEM, METHOD AND COMPUTER
READABLE MEDIUM CONTAINING
INSTRUCTIONS FOR EVALUATING AND
DISSEMINATING INVESTOR
PERFORMANCE INFORMATION

Examiner: N. Subramanian

SUBMISSION OF FORMAL DRAWINGS

MS Issue Fee
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Sir:

Submitted herewith is one set (21 Sheets, Figures 1-21) of drawings for filing in the above-identified patent application. Kindly substitute the enclosed drawings for the drawings submitted with the originally filed application.

Dated: 1/10/06

Respectfully submitted,

By 

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